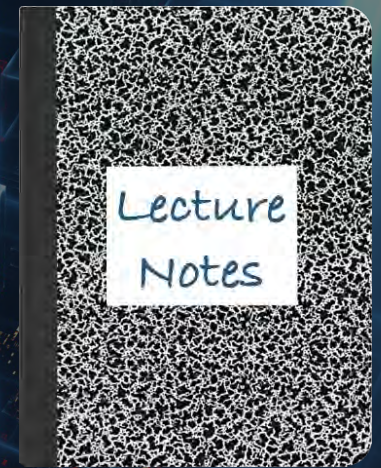


CS 417 – DISTRIBUTED SYSTEMS

Week 4: Part 1

Group Communication

Paul Krzyzanowski



© 2023 Paul Krzyzanowski. No part of this content may be reproduced or reposted in whole or in part in any manner without the permission of the copyright owner.

Modes of communication

- One-to-One
 - Unicast
 - $1 \leftrightarrow 1$
 - Point-to-point
 - Anycast
 - $1 \rightarrow$ nearest 1 of several identical nodes
 - Introduced with IPv6; used with BGP routing protocol
- One-to-many
 - Broadcast
 - $1 \rightarrow$ all
 - Multicast
 - $1 \rightarrow$ many = group communication

Groups allow us to deal with a collection of processes as one abstraction

Send a message to one entity

- Deliver to the entire group

Groups are *dynamic*

- Created and destroyed
- Processes can join or leave
 - May belong to 0 or more groups

Primitives:

- *create_group**
- *delete_group**
- *join_group*
- *leave_group*
- *send_to_group*
- *query_membership**

*Optional

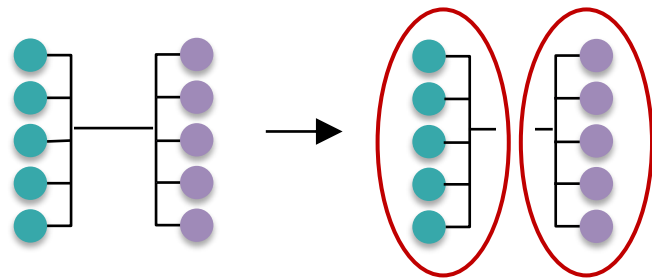
Design Issues

- **Closed vs. Open**
 - Closed: only group members can send messages
- **Peer vs. Hierarchical**
 - Peer: each member communicates with the entire group
 - Hierarchical: go through coordinator(s), which relay messages to the group
 - **Root coordinator**: forwards the message to appropriate subgroup coordinators
- **Managing membership & group creation/deletion**
 - Distributed vs. centralized
- **Leaving & joining** — must be synchronous
- **Fault tolerance & message order**
 - Do we need reliable message delivery? What about missing or unreachable group members?
 - Do messages need to be received in the order they were sent?

Failure considerations

The same things bite us with unicast communication

- **Crash failure**
 - Process stops communicating
- **Omission failure** (typically due to network)
 - Send omission: A process fails to send messages
 - Receive omission: A process fails to receive messages
- **Byzantine failure**
 - Some messages are faulty
- **Partitions**
 - The network may get segmented, dividing the group into two or more unreachable sub-groups
 - Some group members may not get the message



Failure considerations

The same things bite us with unicast communication ... with extra problems

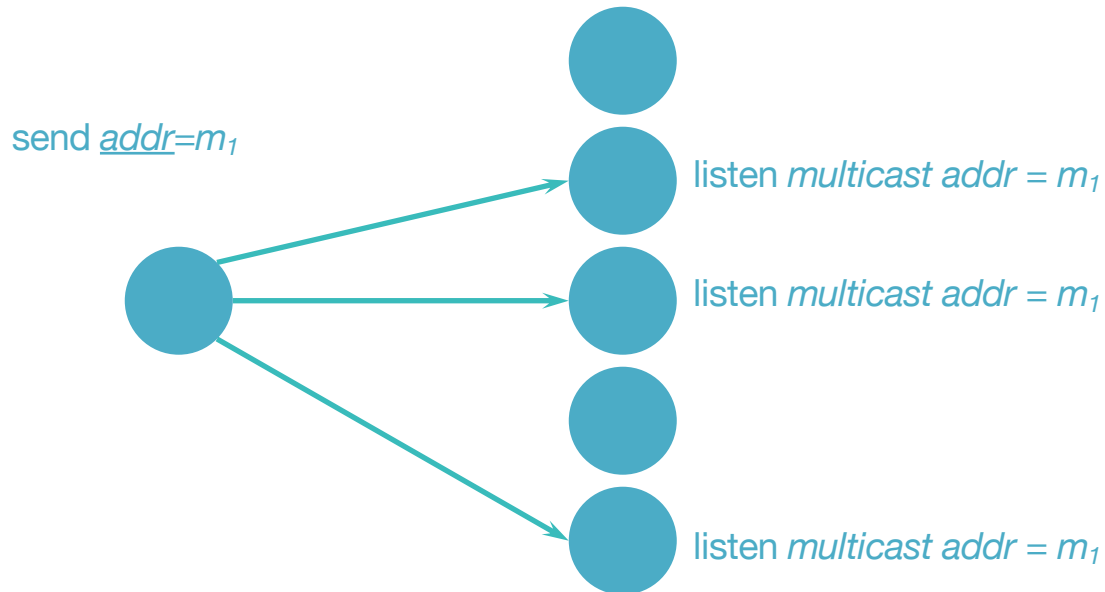
- **Client dies before the multicast is complete**
 - A set of group members might not get the message
- **Server dies during a multicast**
 - It may not receive the message while other group members do
 - Receive omission: A process fails to receive messages
- **A member leaves or joins a group during a multicast**
 - Will it get the message?

Implementing Group Communication Mechanisms

Hardware multicast

If we have hardware support for multicast

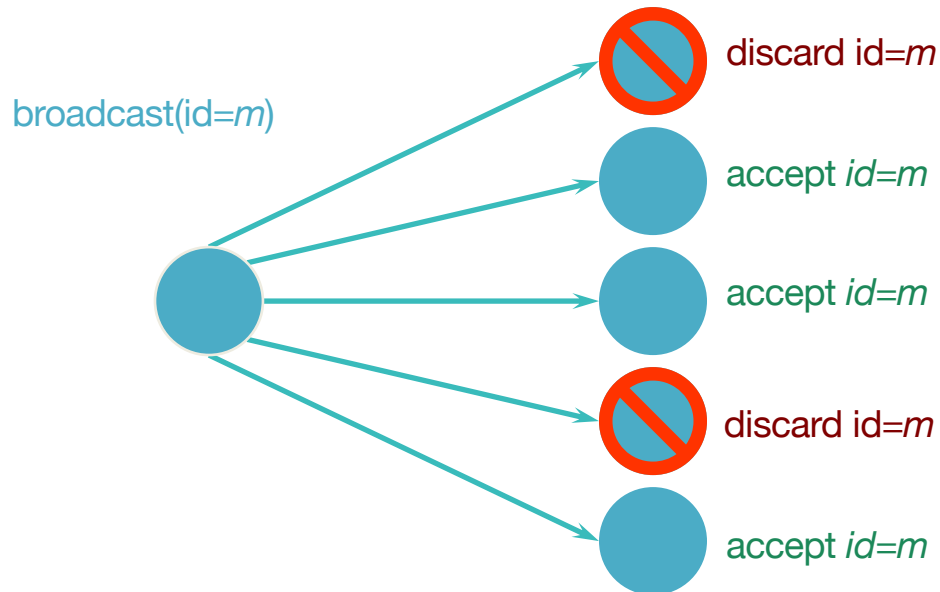
- Group members listen on the MAC address



Broadcast: Diffusion Group

Diffusion group: broadcast to all clients & then filter

- Software filters incoming broadcast or multicast address
- May need to use auxiliary group ID to identify the group (not in the network address header)

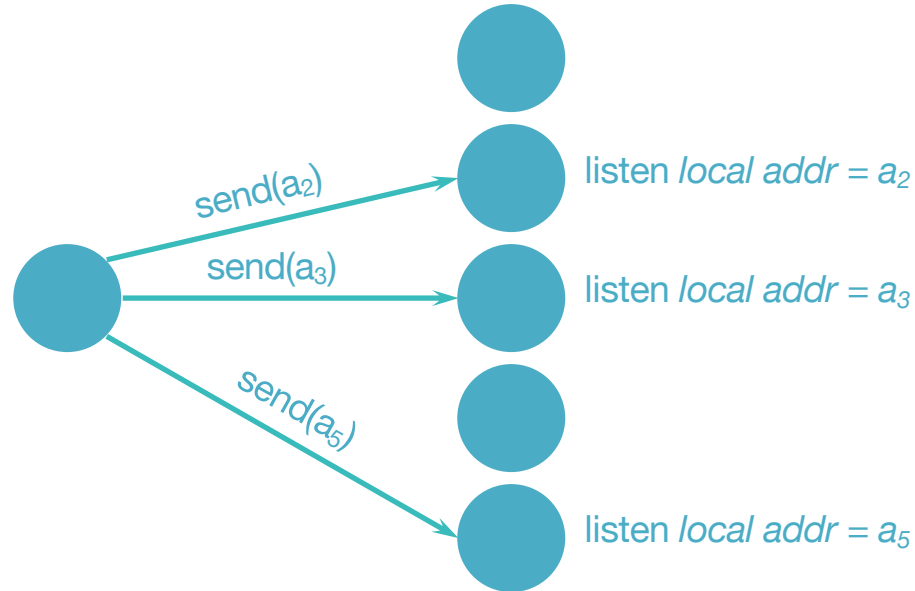


Hardware multicast & broadcast

- Ethernet & Wi-Fi support both multicast & broadcast
- Limited to local area networks

Software implementation: multiple unicasts

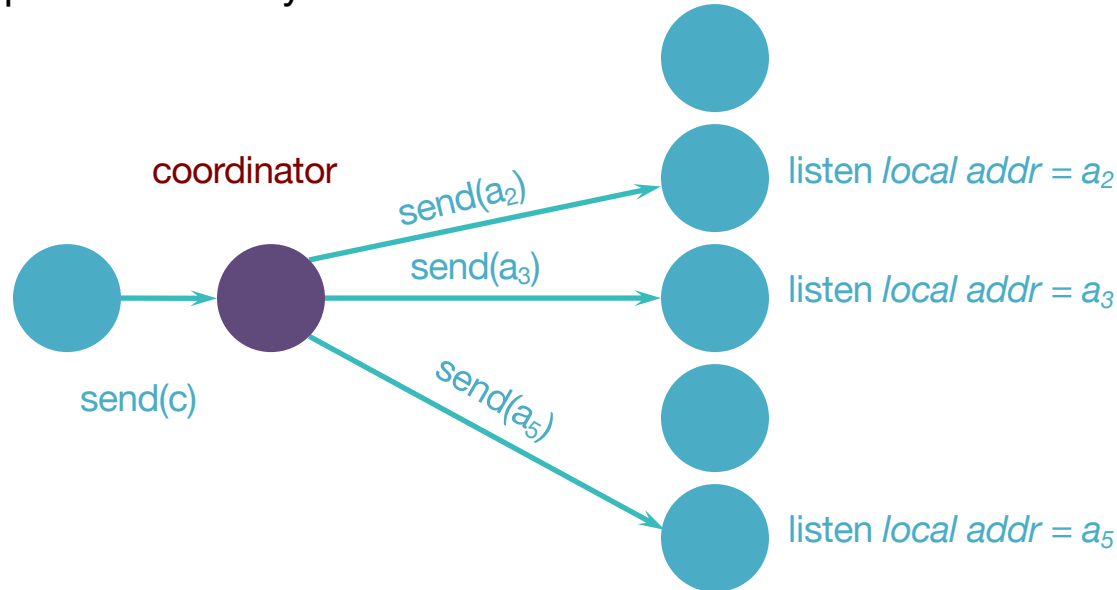
Sender knows group members



Software implementation: hierarchical

Multiple unicasts via group coordinator

- Coordinator knows group members
- Coordinator iterates through group members
- May support a hierarchy of coordinators



Publish-Subscribe (Pub/Sub)

Communication pattern – one of several for group communication

- Publishers & subscribers
 - **Publishers**: send messages – typically to a *topic*
 - **Subscribers**: receive messages that match certain attributes (topics)
- **Message broker** – service that filters, routes, & queues messages (also known as a *message bus* or *event bus*)



Publish-Subscribe (Pub/Sub)

The message broker is a service that is responsible for

- Message queuing
- Filtering
- Reliability (of itself and, in some cases, dealing with dead subscribers)
- Delivery guarantees and message ordering
- Scaling to handle message volume and clients



Reliability of multicasts

Unreliable multicast (best effort)

- Basic multicast
- Hope it gets to all the members
- **Best-effort delivery**
 - The system (computers & network) tries to deliver messages to their destinations but does not retransmit corrupted or lost data

Reliable multicast

- All non-faulty group members will receive the message
 - Assume the sender & recipients will remain alive
 - Network may have glitches
 - Try to retransmit undelivered messages ... but eventually give up
 - It's OK if some group members don't get the message
- Acknowledgments
 - Send a message to each group member
 - Wait for acknowledgment from each group member
 - Retransmit to non-responding members
 - Subject to **feedback implosion** in group communication
 - **Feedback implosion** = a system sends one message but gets many back in response.
E.g., send a message to a group of 1,000 members and get back 1,000 acknowledgments.

Optimizing Acknowledgments

- Easiest thing is to wait for an ACK before sending the next message
 - But that incurs a round-trip delay
- Optimizations
 - **Pipelining**
 - Send multiple messages – receive ACKs asynchronously
 - Set timeout – retransmit message for missing ACKs
 - **Cumulative ACKs**
 - Wait a little while before sending an ACK
 - If you receive other messages, then send one ACK for everything
 - **Piggybacked ACKs**
 - Send an ACK along with a return message
 - **Negative ACKs**
 - Receiver requests retransmission of a missed message

TCP (not multicast) does the first three of these ... but with groups we must do this for each recipient

Reliable multicasts – hierarchical feedback control

Hierarchical feedback control

- A technique for avoiding feedback implosion
- Partition group into subgroups, organized into a tree
- Sender is in the root of the tree (or sends to the root)
 - Each subgroup has a local coordinator – responsible for retransmissions within the subgroup

Scaling reliable multicasts via negative acknowledgments

Negative acknowledgment – sent by a receiver if it misses a sequence #

- Sender attaches a sequence # to each message
- Sender must keep a buffer of old messages (possibly forever)
 - Realistically, keep either a fixed-size buffer or have a time limit
- Need to account for the receiver not sending a negative ACK because it is dead
 - E.g., Send periodic *are-you-alive* messages to check that receivers are alive

Scalable Reliable Multicasting: *feedback suppression*

- Send only negative acknowledgments
 - But multicast them – that way, other receivers will not send a NACK for the same message
 - Use a small random delay before sending the NACK to avoid lots of feedback msgs
 - Every group member is interrupted with NACK messages

Atomic multicast

Atomicity – “all or nothing” property

A message sent to a group arrives at **all group members**

If it fails to arrive at any member, **no member will process it**

Problems

- Unreliable network
 - Each message should be acknowledged
 - Acknowledgments can be lost
- Recipient might die
- Message sender might die

Achieving atomicity

- General idea
 - Ensure that *every* recipient acknowledges receipt of the message
 - Only then allow the application to process the message
 - If we give up on a recipient
 - then *no recipient* can process that received message
- Easier said than done!
 - What if a recipient dies after acknowledging the message?
 - Is it obligated to restart?
 - If it restarts, will it know to process the message?
 - What if the sender (or coordinator) dies partway through the protocol?

Achieving atomicity – example 1

Retry through network failures & system downtime

- Sender & receivers maintain a **persistent log**
- Each message has a unique ID so we can discard duplicates

Sender

- Write the message to log
- Send the message to all group members
- Wait for acknowledgment from each group member
- Write acknowledgment to log
- If timeout on waiting for an acknowledgment, retransmit to group member

Receiver

- Log received non-duplicate message to the persistent log
- Send acknowledgment

NEVER GIVE UP!

Assume that dead senders or receivers will be rebooted and can restart where they left off

Achieving atomicity – example 2

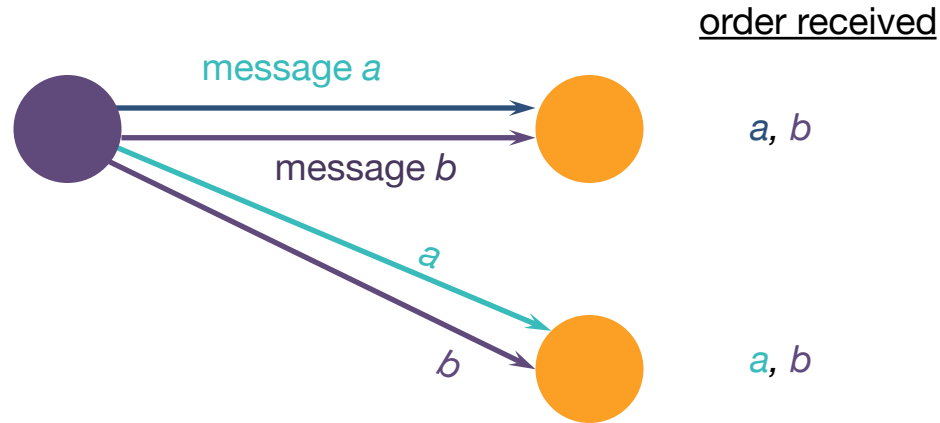
Redefine the group

- If some members failed to receive the message:
 - Remove the failed members from the group
 - Then allow existing members to process the message
- But still need to account for the death of the sender
 - Surviving group members may need to take over to ensure all current group members receive the message
- This is the approach used in virtual synchrony

Message ordering

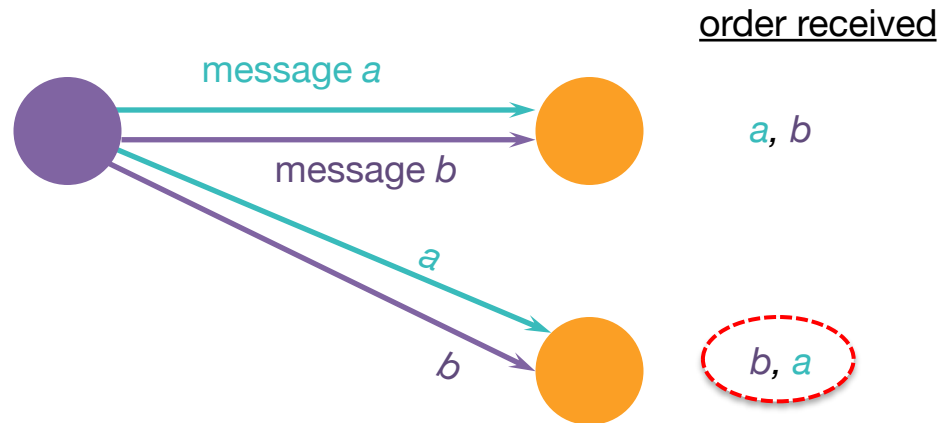
Consistent (Good) Ordering

Single sender multicasting a stream of messages



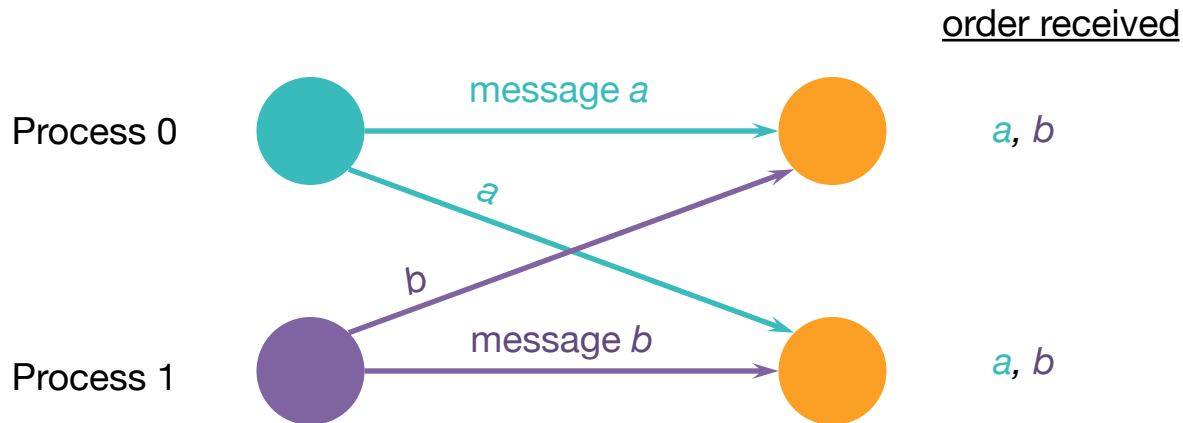
Inconsistent (Bad) Ordering

Single sender multicasting a stream of messages



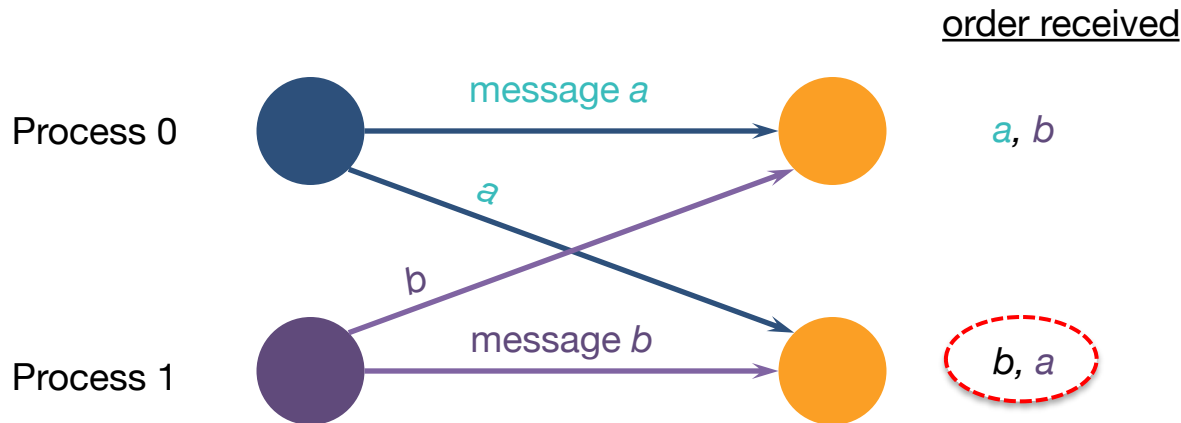
Consistent (Good) Ordering

Multiple senders multicasting a stream of messages



Inconsistent (Bad) Ordering

Multiple senders multicasting a stream of messages



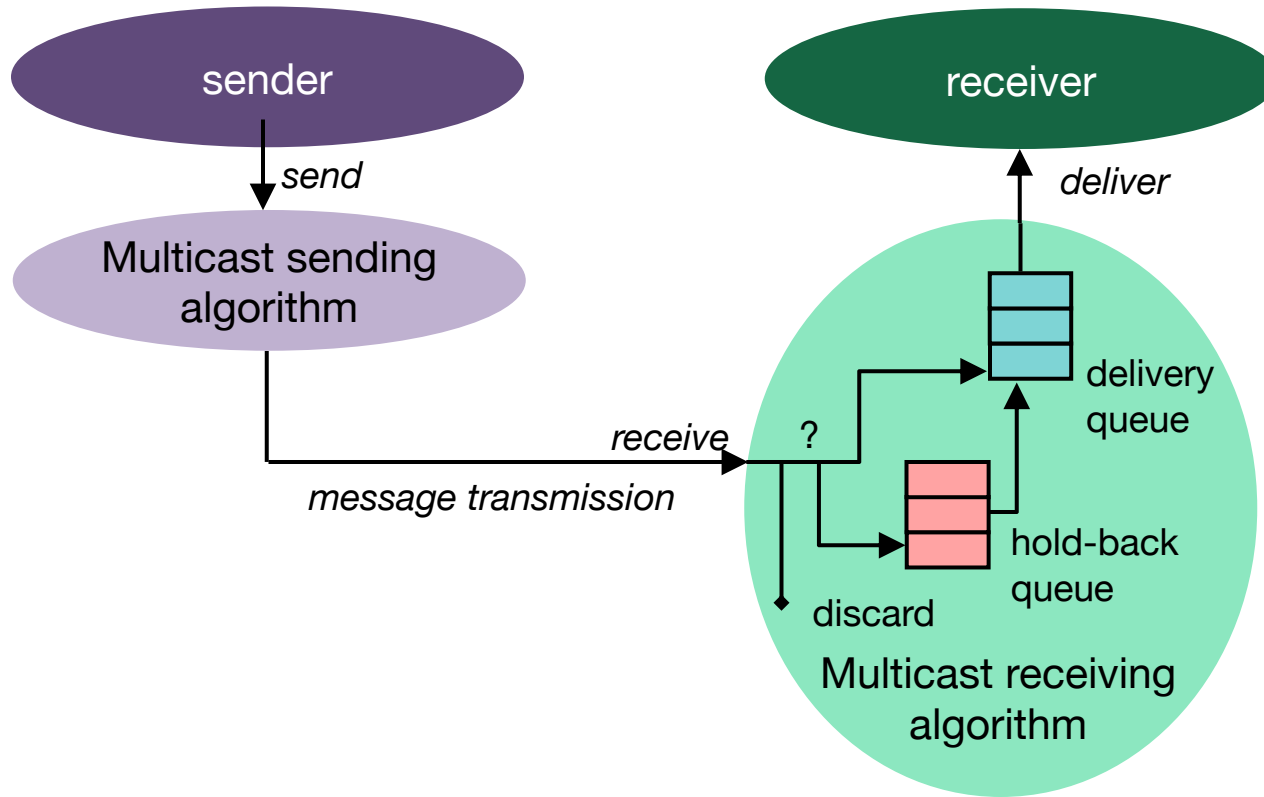
Consistent (good) ordering = All group members will receive the messages in the same order

Inconsistent (bad) ordering = Some group members receive the messages in a different order than others

Sending vs. Receiving vs. Delivering

- After a message is **sent**, it arrives at its destination and is **received** by the operating system
- A **multicast receiver algorithm** decides when to **deliver** a message to the process
- A received message may be:
 - **Delivered immediately**
(put on a delivery queue that the process reads)
 - **Placed on a hold-back queue**
(because we need to wait for an earlier message)
 - **Rejected/discarded**
(a duplicate or earlier message that we no longer want)

Sending, delivering, holding back



Global time ordering

- All messages are delivered in exact order sent
- Assumes two events never happen at the exact same time!

- Difficult (impossible) to achieve
 - Multiple events may have the same timestamp
 - Clocks may not be perfectly synchronized
 - A process has no way of knowing it is still missing messages
- Not a viable approach

Total ordering

- Consistent ordering at all receivers
- All messages are delivered at all group members in the same order
 - They are sorted into the same sequence before being placed on the delivery queue

1. If a process sends m before m' then any other process that delivers m' will have delivered m .
2. If a process delivers m' before m'' then every other process will have delivered m' before m'' .

Implementation:

- Attach **unique totally sequenced message ID**
- Receiver delivers a message to the application only if it has received all messages with a smaller ID
- Otherwise, the message sits in the hold-back queue

Causal ordering

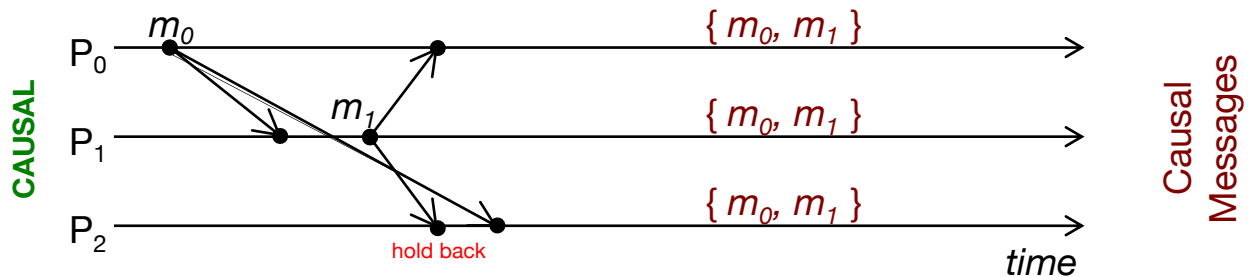
Also known as ***partial ordering***

Messages sequenced by only if they are causally related
(e.g., by Lamport or Vector timestamps)

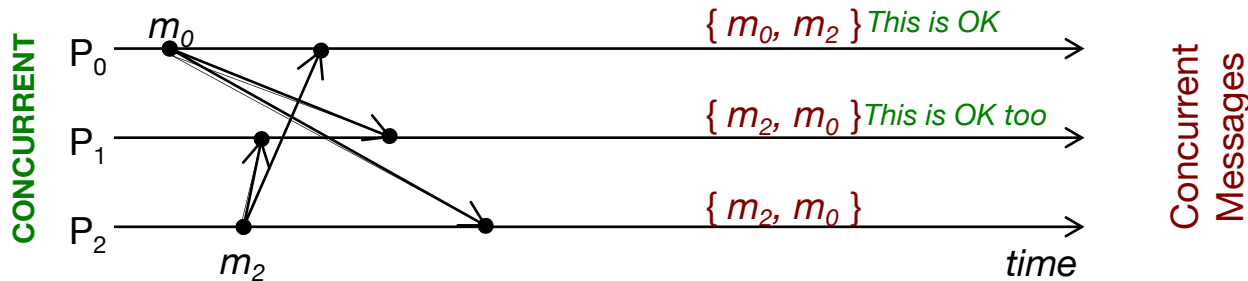
If $\text{multicast}(G, m) \rightarrow \text{multicast}(G, m')$
then every process that delivers m' will have delivered m

If message m' is causally dependent on message m ,
all processes must deliver m before m'

Causal ordering example



m_1 is causally dependent on the receipt of m_0
 \Rightarrow **m_1 must be delivered only after m_0 has been delivered**

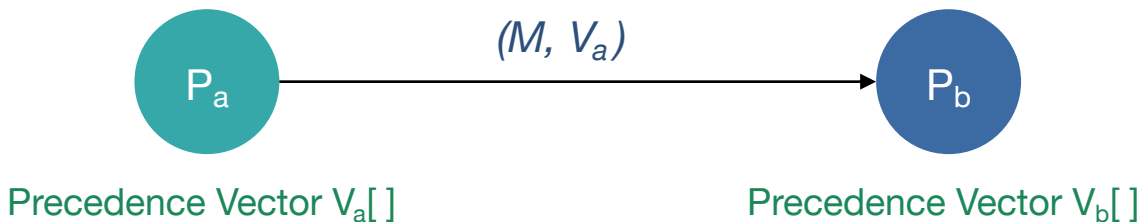


m_0 and m_2 have no causal relationship (they are concurrent)
 \Rightarrow **Any process can deliver these messages in any order**

Causal ordering – implementation

Implementation: P_a receives a message from P_b

- Each process keeps a **precedence vector**
- Vector is updated on multicast *send* and *deliver (not receive)* events
Each position in the vector = sequence number of the latest message from the corresponding group member that causally precedes the event: $[P_0, P_1, P_2, \dots]$



Causal ordering – implementation

Algorithm

- When P_a **sends** a message, it increments its own entry and sends the vector

$$V_a[a] = V_a[a] + 1 \quad \text{– where } a \text{ is the index for process } P_a$$

Send V_a with the message

- When P_b **receives** a message from P_a

1. Check that the message arrived in sequential order from P_a :

$$V_a[a] == V_b[a] + 1 ?$$

2. Check that the message does not causally depend on messages that P_b has not yet received from other processes:

$$\forall i, i \neq a: V_a[i] \leq V_b[i] ?$$

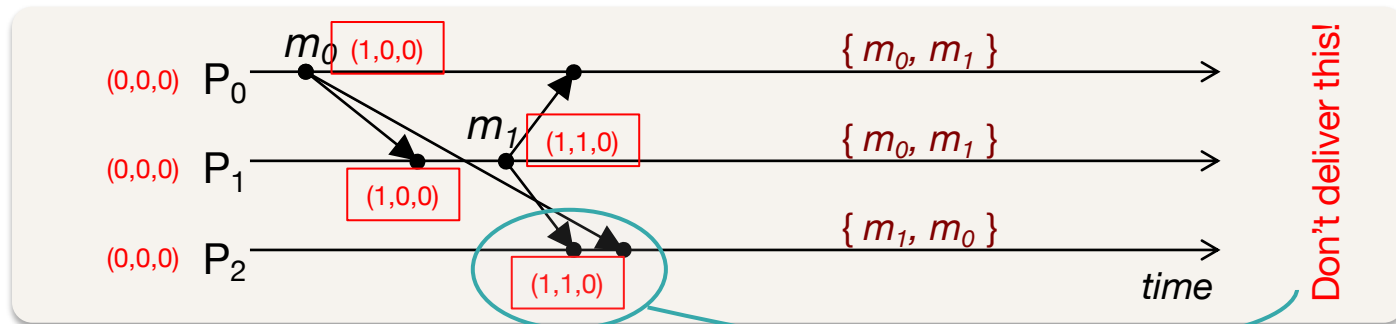
The sequence # of every other message in P_a must be \leq the corresponding one in P_b

- If both conditions are satisfied, P_b will deliver the message to the application:

At P_b , update the precedence vector: $V_b[a] = V_b[a] + 1$

- Otherwise, **hold the message** until these conditions are satisfied

Causal Ordering: Example



P_2 receives message m_1 from P_1 with $V_1=(1,1,0)$

(1) Is this in sequential order from P_1 ?

Compare current V on P_2 : $V_2=(0,0,0)$ with received V from P_1 , $V_1=(1,1,0)$

Yes: $V_2[1] == 0$, received $V_1[1] == 1$ \Rightarrow sequential order – message 1 follows message 0

(2) Is $V_1[i] \leq V_2[i]$ for all other i ?

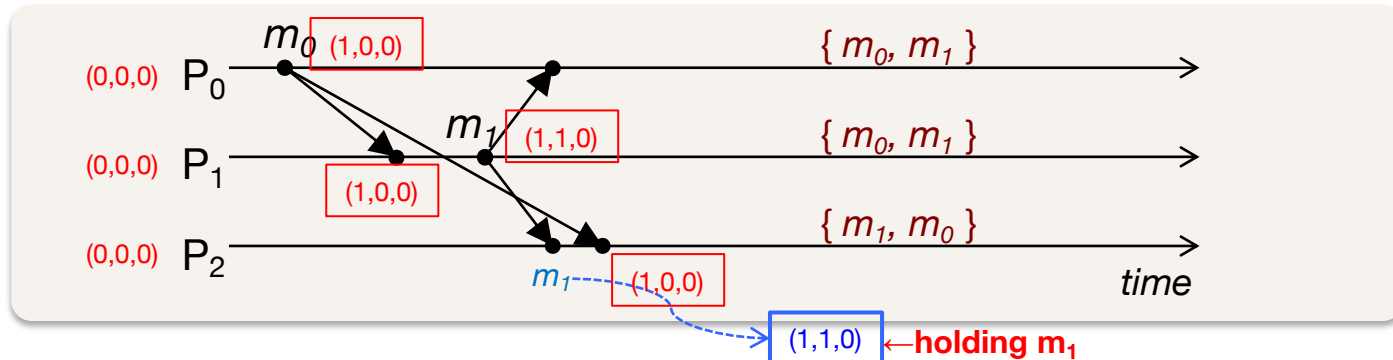
Compare the same vectors: $V_1=(1,1,0)$ vs. $V_2=(0,0,0)$

No, because $(V_1[0] == 1) > (V_2[0] == 0)$

– this means P_2 has seen msg #1 from P_0 that P_2 has not yet received

Therefore: **hold back m_1 at P_2**

Causal Ordering: Example



Next, P_2 receives message m_0 from P_0 with $V=(1,0,0)$

(1) Is m_0 in sequential order from P_0 ?

Compare current V on P_2 : $V_2=(0,0,0)$ with received V from P_0 , $V_0=(1,0,0)$

Yes: $V_2[0] = 0$, received $V_0[0] = 1 \Rightarrow$ sequential order

(2) Is $V_0[i] \leq V_2[i]$ for all other i ?

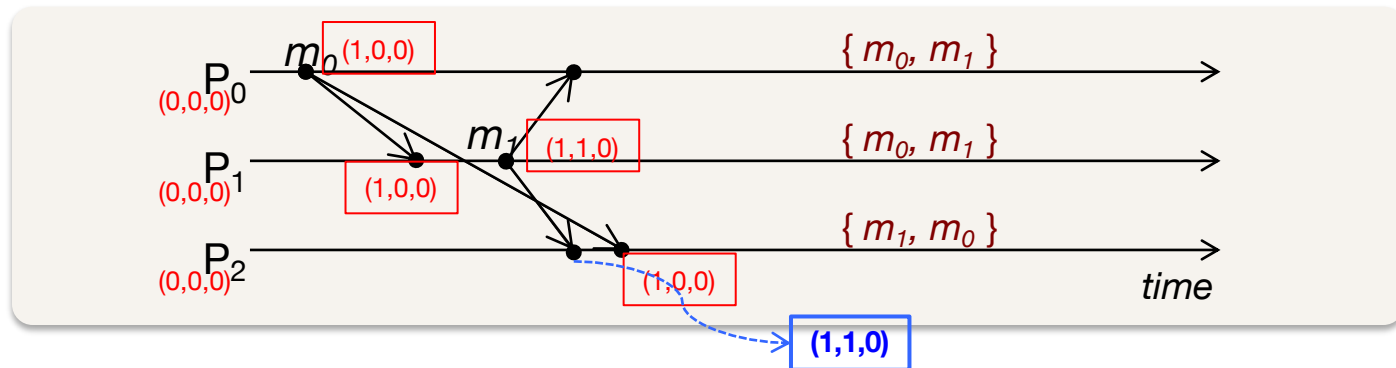
Yes. Element 0: $(0 \leq 0)$, Element 1: $(0 \leq 0)$

Deliver m_0 on P_2 and update precedence vector on P_2 from $(0, 0, 0)$ to $(1, 0, 0)$

This indicates that we delivered message 1 from P_0

Now check hold-back queue. Can we deliver m_1 ?

Causal Ordering: Example



Check the message in the hold-back set

(1) Is the held-back message m_1 in sequential order from P_0 ?

Compare element 1 on current V on P_2 : $\mathbf{V}_2 == (1,0,0)$ with held-back V from P_0 , $\mathbf{V}_0 == (1,1,0)$

Yes: (current $\mathbf{V}_2[1] == 0$) vs. (received $\mathbf{V}_1[1] == 1$) \Rightarrow **sequential**

(2) Is $V_0[i] \leq V_2[i]$ for all other i ?

Now yes. ($\mathbf{V}_0[0] = 1$) \leq ($\mathbf{V}_2[0] = 1$) and element 2: ($\mathbf{V}_0[2] = 0$) \leq ($\mathbf{V}_2[2] = 0$)

Deliver m_1 on P_2 and update the precedence vector on P_2 : $\mathbf{V}_2 = (1, 1, 0)$

This indicates that we delivered message 1 from P_0 and message 1 from P_1

Causal Ordering

- Causal ordering can be implemented more efficiently than total ordering:
 - No need for a global sequencer
 - Expect reliable delivery but we may not need to send immediate acknowledgments

Sync ordering

- Messages can be delivered in any order
- Special message type
 - Synchronization primitive = **barrier**
 - Ensure all pending messages are delivered before any additional (post-sync) messages are accepted

If m is sent with a sync-ordered primitive and m' is multicast, then every process either delivers m before m' or delivers m' before m .

Multiple sync-ordered primitives from the same process must be delivered in order.

Single Source FIFO (SSF) ordering

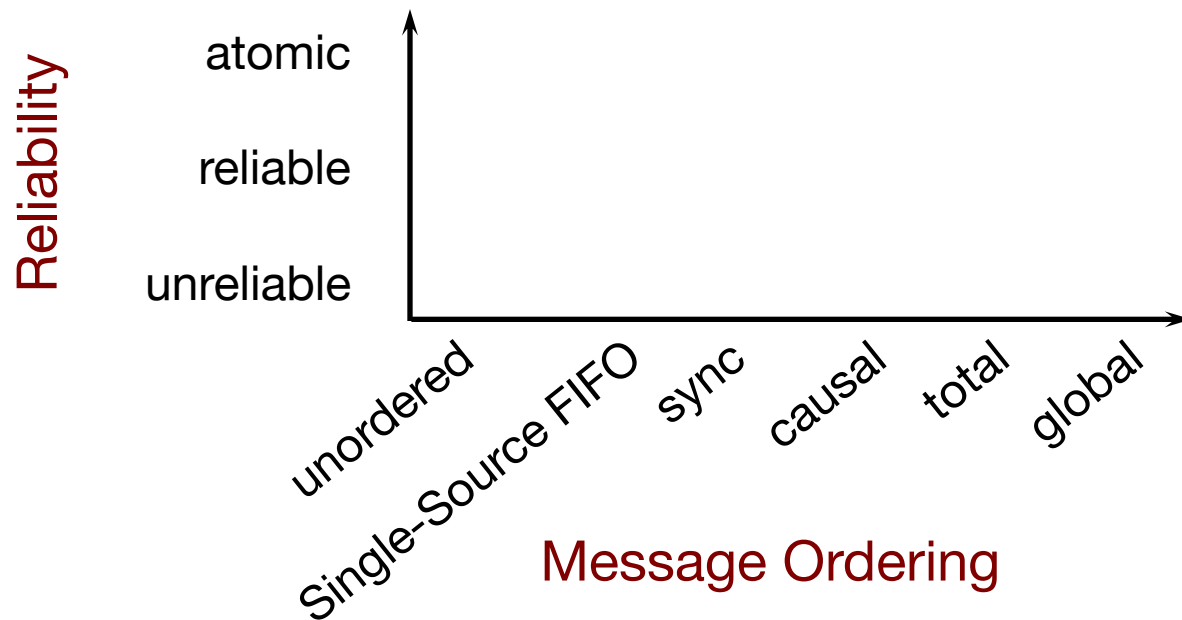
- Messages from the same source are delivered in the order they were sent
 - Message m must be delivered before message m' iff m was sent before m' from the same host

If a process issues a multicast of m followed by m' , then every process that delivers m' will have already delivered m .

Unordered multicast

- Messages can be delivered in different order to different members
- Order per-source does not matter

Multicasting considerations



The End